

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

SEDDON et al.

Serial No. 09/184,401

Filed: November 3, 1998

For: A NON-LINEAR DISPERSIVE PULSE GENERATOR



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TC/A.U.: 3662

Examiner: J. Sotomayor

\* \* \* \* \*

September 14, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUBMISSION OF PRIORITY DOCUMENTS**

It is respectfully requested that this application be given the benefit of the foreign filing date under the provisions of 35 U.S.C. §119 of the following, a certified copy of which is submitted herewith:

Application No.

9723196.3

Country of Origin

Great Britain

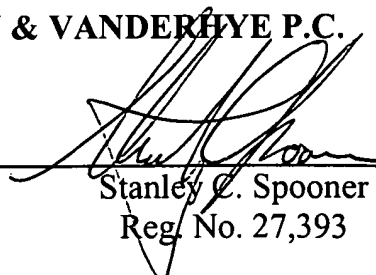
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3 November 1997

Respectfully submitted,

**NIXON & VANDERBYE P.C.**

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04NOV97 E314768-1 002887  
P01/7700 25.00 - 9723196.3

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference

19-7161

2. Patent application  
(The Pa.

9723196.3

03 NOV 1997

3. Full name, address and postcode of the or of each applicant (underline all surnames)

British Aerospace Plc  
Warwick House, Farnborough Aerospace Centre  
Farnborough, Hampshire GU14 6YU

Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

511 6092  
Great Britain

4. Title of the invention

A NON-LINEAR DISPERSIVE PULSE GENERATOR

5. Name of your agent (if you have one)

"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

British Aerospace Public Limited Company  
Corp IP Department, Lancaster House  
PO Box 87, Farnborough Aerospace Centre  
Farnborough, Hampshire GU14 6YU

Patents ADP number (if you know it)

5638796001 ✓

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number  
(if you know it)

Date of filing  
(day / month / year)

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing  
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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

YES

- a) any applicant named in part 3 is not an inventor, or
  - b) there is an inventor who is not named as an applicant, or
  - c) any named applicant is a corporate body.
- See note (d))

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Description

1. f

Claim(s)

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Abstract

1 -

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Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

1 + 2

Request for preliminary examination and search (Patents Form 9/77)

1

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

11.

I/We request the grant of a patent on the basis of this application.

Signature

Date

P.B. Rooney

3-11-97

12. Name and daytime telephone number of person to contact in the United Kingdom

01252 383987 P.B. Rooney

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Patents 1977  
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**Statement of inventorship and of  
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The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1. Your reference 19-7161

2. Patent application number  
(if you know it)

**9723196.3**

63 NOV 1997

3. Full name of the or of each applicant

BRITISH AEROSPACE PLC

4. Title of the invention

A NON-LINEAR DISPERSIVE PULSE GENERATOR

5. State how the applicant(s) derived the right  
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By virtue of Section 39 of the UK Patents Act

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which the above patent application relates to.

Signature

Date

*Paul B Rooney*

3 November 1997

8. Name and daytime telephone number of  
person to contact in the United Kingdom

Paul B Rooney

(01252) 383987

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Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

Nigel SEDDON  
British Aerospace Public Limited Company  
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214536001-11

Patents ADP number (if you know it):

Christopher R SPIKINGS  
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DUPLICATE

-1-

## A NON-LINEAR DISPERSIVE PULSE GENERATOR

This invention relates to a non-linear dispersive pulse generator for producing pulsed radio frequency electric signals.

High power radio frequency and microwave generators are known and it is desirable with such generators to be able to provide a facility for quickly changing the frequency of the output radio frequency signal. Such generators are required to produce high power pulses of radio frequency with peak powers, for example, in excess of 100kW. For convenience the term radio frequency as used in this specification is intended to mean radiation in the high frequency, very high frequency, ultra high frequency and microwave regions of the electromagnetic spectrum.

It is desirable to be able to adjust the output frequency of a high power radio frequency generator rapidly and over a relatively large tuning range. For example it is useful to be able to adjust the frequency of the output signal in a time of the order of 0.1 millisecond to 1 millisecond, which allows frequency variation at pulse repetition frequencies of 1kHz to 10kHz.

Examples of known high power radio frequency generators are magnetrons, klystrons and travelling wave tubes (TWTs).

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-2-

These devices generally operate by generating a beam of electrons which passes through a periodic mechanical structure designed such that the electron beam interacts with the mechanical structure so that energy is extracted from the electron beam to produce a radio frequency or microwave field inside the mechanical structure which is normally a cavity. The characteristics of the radio frequency output from such known devices are fixed partly by the design of the mechanical structure and the characteristics of the electron beam and the structure together determine the range of radio frequency oscillation frequencies which can be supported and the quality factor of the cavity. Such devices may act as amplifiers for a small radio frequency signal.

High power oscillators such as magnetrons have been developed to produce output powers up to a few megawatts with tuning ranges of about 10 percent. The radio frequency output of such magnetrons is tuned by mechanical adjustment of the cavity and very high power magnetrons usually have relatively small tuning ranges. Specialised magnetrons which include rapidly rotating disks are able rapidly to adjust the radio frequency output frequency from pulse to pulse but are limited to relatively low output powers of less than 1



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-3-

megawatt with constrained frequency agility resulting from fixed cycling patterns.

Klystron amplifiers may be used to produce high radio frequency output powers with the frequency being tuneable by variation of the frequency of the low power radio frequency input signal. As the peak power of a klystron is increased the band width is reduced and the effective tuning range is reduced accordingly.

Travelling Wave Tube amplifiers have been developed with band widths of one octave but this restricts the operating power to relatively low values of typically less than 100kW.

At higher power levels the band width is reduced to about 10 to 15% at 1 megawatt power.

Thus at power levels of more than a few megawatts conventional electron beam radio frequency generators have small band widths and small tuning ranges. High power magnetrons require mechanical adjustments to the cavity to adjust the radio frequency output frequency. Amplifiers such as klystrons and TWTs have severely restricted band widths at high power levels with correspondingly reduced frequency change agility. There is thus a need for a radio frequency generator which can be operated at relatively high power



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-4-

levels, preferably up to 1 gigawatt with a good ability for frequency change.

According to one aspect of the present invention there is provided a non-linear dispersive pulse generator for producing pulse radio frequency electrical signals, including a non-linear dispersive electrical circuit incorporating at least one non-linear element made of a material sensitive to low power signals and a means of producing a variable power control signal and applying the control signal to the at least one non-linear element to modify the extent of the non-linearity of the element and thereby vary the output frequency of the radio frequency electrical signal generated.

Preferably the non-linear dispersive electrical circuit includes a plurality of non-linear elements in the form of inductors interconnected in series, a first array of coupling capacitors each linking the input side of one inductor to the output side of the next inductor in line for dispersive purposes, and a second array of capacitors arranged in parallel to one another such that each capacitor of the second array connects the input side of a different inductor to a common electrical line.

Conveniently the or each non-linear element material is a ferromagnetic material sensitive to a magnetic field and

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-5-

the control signal producing means is operable to produce a relatively small variable electric current which gives rise to a variable low value magnetic field which is applied to the ferromagnetic material to adjust the initial state of the non-linear element and alter the behaviour of the non-linear element during modulation of a high power radio signal to change the frequency of the radio frequency signal outputted from the generator.

Advantageously the control signal producing means includes a source of low power direct current which is applied to the input side of the non-linear dispersive electrical circuit with a high voltage input and which is returned to the source from the output side of the non-linear dispersive electrical circuit at the radio frequency signal output, and a computer control for varying the value of the low power direct current to vary the frequency of the radio frequency output signal.

Alternatively the or each non-linear element material is ferroelectric material sensitive to an electric field and the control signal producing means is operable to produce a variable low value electric field which is applied to the ferroelectric material to adjust the initial state of the non-linear element and alter the behaviour of the non-linear

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-6-

element during modulation of a high power radio frequency signal to change the frequency of the radio frequency signal outputted from the generator.

For a better understanding of the present invention, and to show how the same may be carried into effect, reference will now be made, by way of example, to the accompanying drawing in which:

Figure 1 is a schematic diagram of a non-linear dispersive pulse generator according to a first embodiment of the present invention, and

Figure 2 is a schematic diagram of a typical dispersion curve of a non-linear dispersive electrical circuit used in the embodiment of Figure 1.

A non-linear dispersive pulse generator according to a first embodiment of the present invention is shown in Figure 1 and is operable to produce pulsed radio frequency electrical signals. To this end it employs a non-linear dispersive electrical circuit generally indicated at 1 incorporating at least one non-linear element such as inductors 2 made of a material sensitive to low power signals. A high voltage electrical pulse that has a short pulse rise time and a flat top is injected into the circuit 1 at 3. The non-linear and dispersive characteristics of the



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-8-

additional inductor elements. It is also stressed that although the input pulse at 3 usually has a short pulse rise time and a flat top, neither of these aspects are essential. It should also be noted that in Figure 1 the circuit 1 has 6 sections but more or less sections can be provided if desired.

Also forming part of the circuit 1 is a second array of capacitors 8 each having a capacitance  $T_0$  arranged in parallel to one another such that each capacitor 8 connects the input side of a different inductor 2 to a common electrical line 9. Each inductor 2 has an inductance  $L_0$ .

The input pulse injected at point 3 is a high voltage pulse for example 50kV. The current associated with this pulse is also of a high value for example 2kA. The high current pulse propagates from left to right in Figure 1 with the propagation velocity being determined partly by the inductance value  $L_0$  of the inductors 2.

Rapid adjustment of the output frequency from the radio frequency output at 4 over a wide frequency range is achievable with the generator of Figure 1 by adjusting the extent of non-linearity in the circuit 1. The variation in circuit non-linearity is achieved by choosing a non-linear element such as the inductors 2 made of a material sensitive

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-9-

to low power control signals 6. For example the elements such as the inductor 2 may contain a material which is a ferromagnetic material sensitive to the application of low value magnetic fields or a ferroelectric material sensitive to the application of low value electric fields. The control signal 6 is a relatively small current or a relatively small electric field which is used to adjust the initial state of the non-linear material with this adjustment altering the behaviour of the non-linear elements such as 2 during modulation of a high power radio frequency signal and consequently changing the frequency of the radio frequency output signal. Adjustment of the control signal 6 then allows changes to be made to the radio frequency output frequency at 4 on a pulsed pulse basis.

Thus in the embodiment of Figure 1 the non-linear element material is a ferromagnetic material sensitive to a magnetic field. The ferromagnetic material is a saturable magnetic material and the inductors 2 preferably are formed from a conductive wire which is threaded with soft, saturable, ferrite magnetic beads (not shown). In this case the magnetic field which is associated with the input pulse current at point 3, (usually approximately 2kA) is applied to the ferrite toroid in a circumferential direction. When the

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-7-

circuit 1 modify the shape of the pulse and are such as to produce a radio frequency output pulse at 4 from the input pulse. Preferably the circuit 1 is solid state.

The pulse generator as shown in Figure 1 also includes a means generally shown at 5 for producing a variable power control signal 6 and for applying the signal 6 to at least one of the non-linear elements such as the inductors 2 at the high voltage input point 3 to modify the extent of the non-linearity of the element and thereby vary the output frequency of the radio frequency electrical signal 4 generated.

The circuit 1 includes a plurality of the non-linear elements in the form of inductors 2 interconnected in series, a first array of coupling capacitors 7 each linking the input side of one inductor 2 to the output side of the next inductor 2 in line for dispersive purposes. These capacitors 7 have a value  $C^{-}$  and provide additional dispersive characteristics to the circuit 1 in order to enhance the conversion of energy from the input pulse at 3 to the radio frequency output signal at 4. The arrangement of the coupling capacitors 7 as shown in Figure 1 is merely one example and suitable dispersive properties may be provided in the circuit 1 by other arrangements of capacitors or

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-10-

magnetic material is in an unsaturated state its presence increases the value of each circuit inductance to a value greater than  $L_0$ . If the magnetic material is forced into a saturated state the value of the inductance falls to the lower limiting value of  $L_0$ . Thus when the control signal 6 is a relatively small variable electric current a variable low value magnetic field is created at the inductors 2 so as to adjust the initial state of the non-linear element 2 and alter the behaviour of the element 2 during modulation of a high power radio frequency signal to change the frequency of the radio frequency signal outputted at point 4.

The non-linear magnetic material which is included in the inductor 2 may be used to effect the propagation of the input pulse through the circuit 1. The presence of unsaturated magnetic material in each of the circuit inductances inhibits the flow of current along the transmission line in the circuit 1 such that the propagation velocity of the front of the input pulse is reduced by the presence of unsaturated magnetic material. Consequently the injected current pulse propagates from the input .3 to the output point 4 at a velocity which is determined partly by the initial state of the non-linear circuit element or inductor 2.

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-11-

The dispersive characteristics of the circuit 1 produce the natural effect that the phase velocity of an oscillating signal is dependent on the oscillation frequency of the signal. Figure 2 shows a typical dispersion curve for a circuit such as that shown in Figure 1 in which it is clear that the phase velocity of an oscillatory signal in the circuit 1 is dependent on the oscillation frequency of the signal. If an electrical pulse with a flat top is injected into circuit 1 at point 3, energy is transferred into an oscillating signal component whose oscillation frequency is determined by a curve such as that shown at 10 in Figure 2. Each value of phase velocity has a corresponding value of oscillation frequency. The effect of changing the propagation velocity of the injected pulse in the generator of Figure 1 will be to change the frequency of the radio frequency signal which is excited in the circuit 1. By providing means 5 to adjust the initial condition of the non-linear element or inductor 2 it is possible to control the propagation velocity of the input pulse and consequently control the frequency of the radio frequency output at point 4. In the case of non-linearity which is based on saturable magnetic material, the initial state of the magnetic material can be adjusted by passing a small direct current typically

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-12-

less than 1 amp current through the inductors 2. Injection of the direction current as a control signal 6 prior to injection of the high current pulse at point 3 will set the condition of the non-linear element or inductor 2 such that the circuit 1 will produce a particular radio frequency output frequency. Variation of the direct current level of control signal 6 will allow variation of the radio frequency output frequency.

As shown in Figure 1 the means 5 includes a source 11 of low power direct current which is applied to the input side of the non-linear dispersive electrical circuit 1 at point 3 and which is returned to the source from the output side at point 4. Thus the direct current flows through each of the transmission line inductors 2. Passage of the direct current through the inductors 2 produces a magnetic field around each inductor which couples with the saturable magnetic material in each inductor. The direct current control signals 6 can be used to set the initial condition of the saturable magnetic material in each inductor 2 and in effect is used to set the saturable magnetic material at a certain point on its hysteresis loop. The initial set position can be varied continuously between positive and negative saturation values for the saturable magnetic

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-13-

material by selecting the level of direct current appropriately. The means 5 also includes circuit protection elements 12 to protect the source 11 from the high voltage pulse which also is injected at point 3 to the circuit 1.

Adjustment of the direct current signal 6 can be achieved in a short time and for example in the generator of Figure 1 the output frequency may be changed in less than 1 millisecond. The means 5 also includes a computer control 13 and the frequency of the radio frequency output pulse can be stepped, in a linear fashion, from pulse to pulse through the full tuning range of the generator. It is also possible to produce random radio frequency frequencies from pulse to pulse within the constraints of the radio frequency source tuning range or to produce statistically weighted radio frequency outputs to optimise the energy which is radiated as a function of frequency.

The tuning range of radio frequency frequencies which can be generated from a generator as shown in Figure 1 can be at least plus or minus 20% of the design centre frequency. This is a large range compared to conventional high power radio frequency generators. Preferably the non-linear dispersive circuit 1 should be designed to give a large

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-14-

variation in oscillation frequency for a small variation in phase velocity.

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-15-

## CLAIMS

1. A non-linear dispersive pulse generator for producing pulsed radio frequency electrical signals, including a non-linear dispersive electrical circuit incorporating at least one non-linear element made of a material sensitive to low power signals, and a means of producing a variable power control signal and applying the control signal to the at least one non-linear element to modify the extent of the non-linearity of the element and thereby vary the output frequency of the radio frequency electrical signal generated.
2. A generator according to claim 1, wherein the non-linear dispersive electrical circuit includes a plurality of non-linear elements in the form of inductors interconnected in series, a first array of coupling capacitors each linking the input side of one inductor to the output side of the next inductor in line for dispersive purposes, and a second array of capacitors arranged in parallel to one another such that each capacitor of the second array connects the input side of a different inductor to a common electrical line.
3. A generator according to claim 1 or claim 2, wherein the or each non-linear element material is a ferromagnetic

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-16-

material sensitive to a magnetic field and wherein the control signal producing means is operable to produce a relatively small variable electric current which gives rise to a variable low value magnetic field which is applied to the ferromagnetic material to adjust the initial state of the non-linear element and alter the behaviour of the non-linear element during modulation of a high power radio frequency signal to change the frequency of the radio frequency signal outputted from the generator.

4. A generator according to claim 3, wherein the control signal producing means includes a source of low power direct current which is applied to the input side of the non-linear dispersive electrical circuit with a high voltage input and which is returned to the source from the output side of the non-linear dispersive electrical circuit at the radio frequency signal output, and a computer control for varying the value of the low power direct current to vary the frequency of the radio frequency output signal.
5. A generator according to claim 1 or claim 2, wherein the or each non-linear element material is ferroelectric material sensitive to an electric field and wherein the

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-17-

control signal producing means is operable to produce a variable low value electric field which is applied to the ferroelectric material to adjust the initial state of the non-linear element and alter the behaviour of the non-linear element during modulation of a high power radio frequency signal to change the frequency of the radio frequency signal outputted from the generator.

6. A non-linear dispersive pulse generator for producing pulsed radio frequency electrical signals, substantially as hereinbefore described and as illustrated in Figure 1 as modified or not by Figure 2 of the accompanying drawings.

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-18-

A NON-LINEAR DISPERSIVE PULSE GENERATOR

ABSTRACT

A non-linear dispersive pulse generator for producing pulsed radio frequency electrical signals includes a non-linear dispersive electrical circuit (1) incorporating at least one non-linear element (2) made of a material sensitive to low power signals and a means (5) of producing a variable power control signal (6) and applying it to the element (2) to modify the extent of the non-linearity of the element (2) and thereby vary the output frequency of the radio frequency electrical signal generated by the generator.

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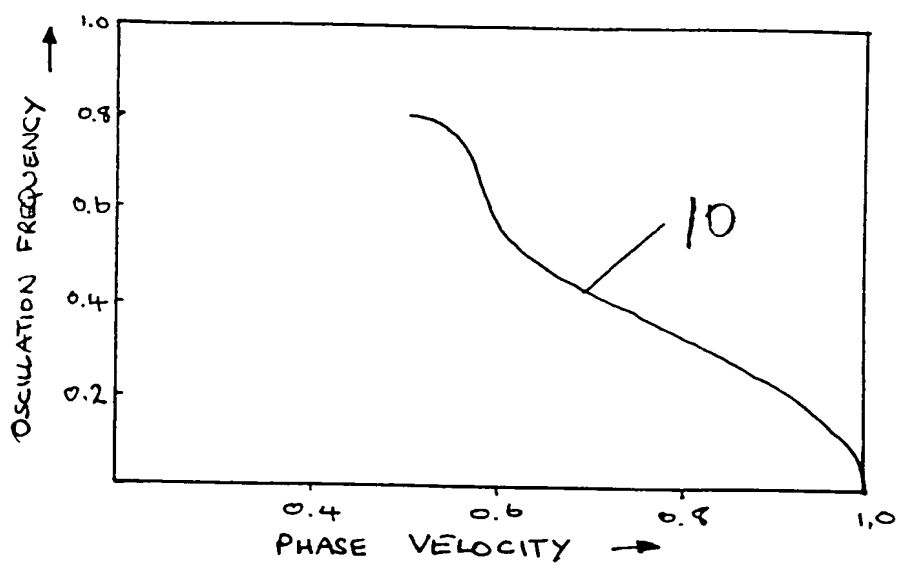
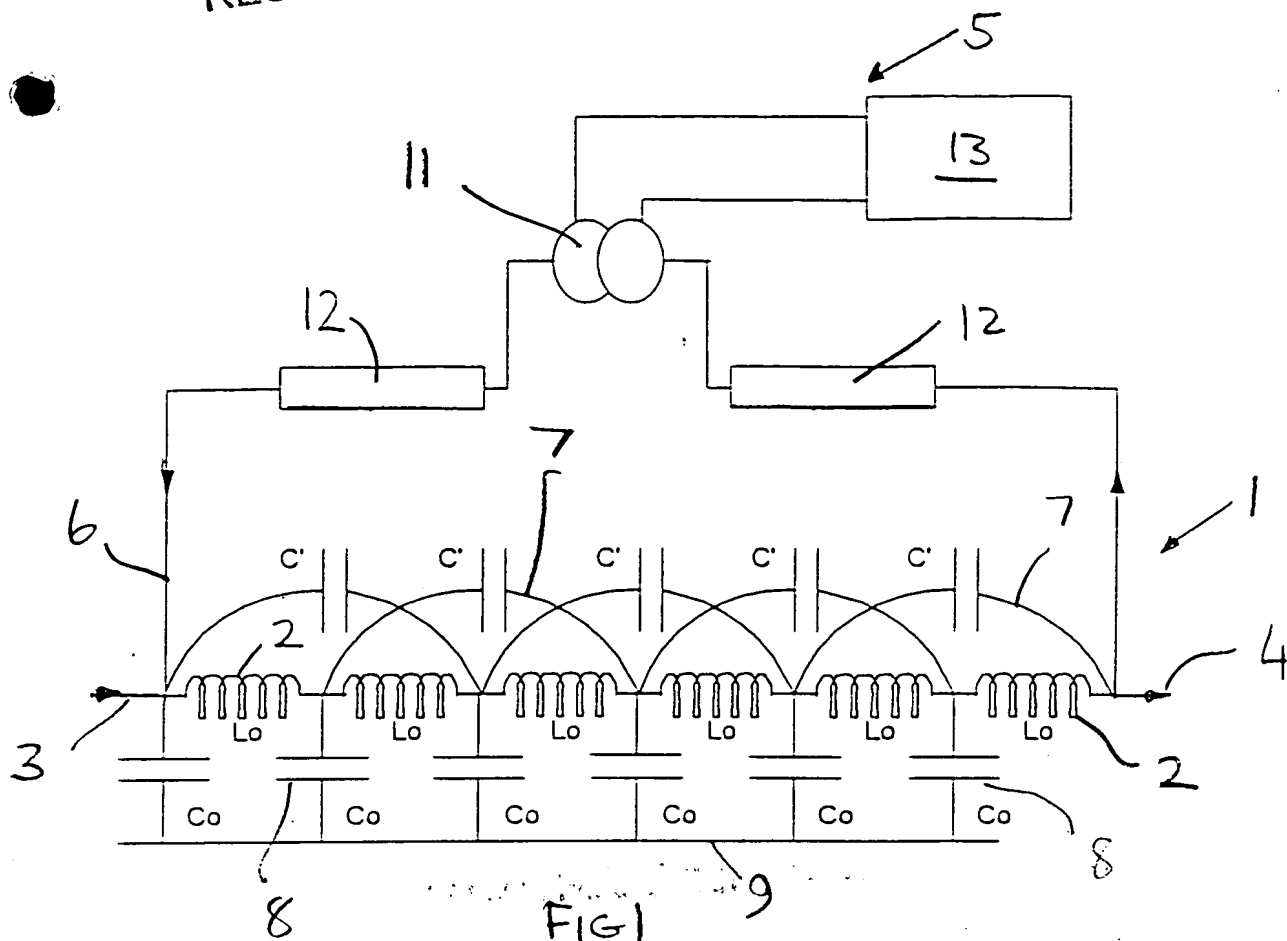


FIG 2

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